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 Submission ID: 1583356364

2nd International Conference on Smart Grid and Smart Cities

**Effect of Initial Rotor Position on Rotor Flux Oriented Speed Permanent Magnet Synchronous Motor Control using Incremental Encoder**

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**Abstract**— This paper analyzes the effect of the load and initial position of the Permanent Magnet Synchronous Motor Control (PMSM) control system when the PMSM is used as a vehicle on the flat road and uphill. The control system that will be analyzed uses Proportional Integral (PI) as a current controller and incremental encoder as a sensor. The results prove that the initial rotor positions affect the motor current.

**Keywords**— PMSM; vector control; incremental encoder; initial position; current; synchronization

I. INTRODUCTION

Permanent Magnet Synchronous Motor (PMSM) is an AC motor that the rotor runs at the same speed as the rotation of the stator magnetic field. The rotor is locked to a rotating field. The rotor has to operate at synchronous speed for all load states. Increasing the load causes a loss of torque and the motor loses synchronization. The motor will stop if the rotor is pulled out of the synchronism when the mechanical load of the motor is increased [1].

As for DC machines, torque control in AC machines is achieved by controlling the motor currents. However, both the phase angle and the modulus of the current in an AC machine have to be controlled. This means that the current vector has to be controlled. This is the reason for the terminology of vector control. With vector control, the torque and flux-producing current components are decoupled. One of the vector control types is rotor-flux-oriented control [2]. In decoupling process, the three-phase mathematical model of PMSM will be changed into the two-phase mathematical model using Clarke and Park transformations [3]. The Clarke transformation converts the balanced three-phase quantities into two-phase stationary reference frame. The Park transformation converts from stationary reference frame into a rotating reference frame.

When PMSM is used as a driving force in an electric vehicle, this out of synchronization can cause problems. When the vehicle is moving on a flat road as shown in Fig. 1(a), due to the effect of vehicle loads, there can be a magnetic slip, as shown in Fig. 1(b). Although the direction of the flux is the same, with different speeds ( $\omega_r$ ),  $\omega_s$ , the motor will be asynchronous. Not only on flat roads, the asynchronous condition also occurs in vehicles that are moving on uphill roads, as shown in Fig. 1(c). Because of the effects of gravity, where the vehicle gets as much force in the opposite direction, the vehicle can run backward. This condition is dangerous for the passenger of the vehicle. In Fig. 1(d), a magnetic slip condition is indicated when the vehicle is on an uphill road. Unlike when a vehicle moves on a flat road where the direction of the stator flux is equal to the rotor flux, the magnetic slip condition when the vehicle is running on an uphill has a stator flux direction that is not equal to the rotor flux.

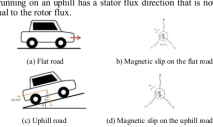


Figure 1. The illustration of the vehicle on the uphill road

If the initial position of the rotor ( $\theta_r$ ) differs from the controller's initial position, it can cause problems, especially if the PMSM is used as an electric vehicle, as illustrated in Fig. 1. This condition increases if the motor gets a large load. A large load on the motor can also cause problems. As explained by Harini, B.W. et al [4], the electric vehicle actually moves in the wrong direction when a large load that has reverse torque direction is given to the motor. Different from that paper where the system used a sensorless system, this paper will present the effect of initial position and load on PMSM if the system uses incremental encoder sensor.

The initial position of the rotor is very important in the control of PMSM. Jung, D.H. et al have described an efficient method for identifying the initial position of a permanent magnet synchronous motor (PMSM) with an incremental encoder, even when a brake and/or a constant load torque is being applied [5]. However, in this paper, there is no explanation of the effect of the initial position on the motor current. Lei, Wang et al have proposed a method to identify the rotor position polarity [6]. This paper didn't describe the effect of the initial position on the motor current. All papers didn't research the phenomena as illustrated in Fig. 1.

The control system that will be analyzed uses Proportional Integral (PI) as a current controller and

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# Effect of Initial Rotor Position on Rotor Flux Oriented Speed Permanent Magnet Synchronous Motor Control using Incremental Encoder

*by Harini Bernadeta Wuri*

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**Submission date:** 11-May-2021 12:07PM (UTC+0700)

**Submission ID:** 1583356364

**File name:** Rotor\_Position\_on\_Rotor\_Flux\_Oriented\_Speed\_Permanent\_Magnet.pdf (3.64M)

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I. INTRODUCTION

Permanent Magnet Synchronous Motor (PMSM) is an AC motor<sup>38</sup> that the rotor runs at the same speed as the rotation of the stator magnetic field. The rotor is locked to a rotating field. The rotor has to operate at synchronous speed for all load states. Increasing the load causes a loss of torque and the motor loses synchronization. The motor will stop if the rotor is pulled out of the synchronism when the mechanical load of the motor is increased [1].

As for DC machines, torque control in AC machine<sup>21</sup> is achieved by controlling the motor currents. However, both the phase angle and the modulus of the current in AC machine have to be controlled. It means that the current vector has to be controlled. This is the reason for the terminology of 'vector control'. With vector control, the torque and flux-producing current components are decoupled. One of the vector control types is rotor-flux-oriented control[2]. In decoupling process, the three-phase mathematical model of PMSM will be changed into the two-phase mathematical model using Clarke and Parke transformations[3]. The Clarke transformation converts the balanced three-phase quantities into two-phase stationary reference frame. The Park transformation converts from stationary reference frame into a rotating reference frame.

When PMSM is used as a driving force in an electric vehicle, this out of synchronization can cause problems. When the vehicle is moving on a flat road as shown in Fig. 1.(a), due to effect of vehicle loads, there can be a magnetic slip, as shown in Fig. 1.(b). Although the direction of the flux is the same, with different speeds ( $\omega_e \gg \omega_m$ ), the motor will be asynchronous. Not only on flat roads, the asynchronous condition also occurs in vehicles that are moving on uphill roads, as shown in Fig.1.(c). Because of

the effects of gravity, where the vehicle gets as much force in the opposite direction, the vehicle can run backward. This condition is dangerous for the passenger of the vehicle. In Fig.1.(d), a magnetic slip condition is indicated when the vehicle is on an uphill road. Unlike when a vehicle moves on a flat road where the direction of the stator flux is equal to the rotor flux, the magnetic slip condition when the vehicle is running on an uphill has a stator flux direction that is not equal to the rotor flux.

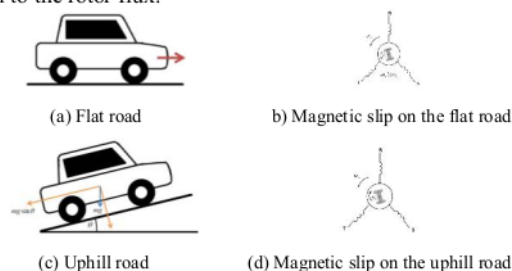


Figure 1. The illustration of the vehicle on the road

If the initial position of the rotor ( $\theta_r$ ) differs from the controller's initial position, it can cause problems, especially if the PMSM is used as an electric vehicle, as illustrated in Fig. 1. This condition increases if the motor gets a large load. A large load on the motor can also cause problems. As explained by Harini, B.W. et al[4], the electric vehicle actually moves in the wrong direction when a large load that has reverse torque direction is given to the motor. Different from that paper where the system used a sensorless system, this paper will present the effect of initial position and load on PMSM if the system uses incremental encoder sensor.

The initial position of the rotor is very important in control of PMSM. Jung, D.H. et al have described an efficient method for identifying the initial position of a permanent magnet synchronous motor (PMSM) with an incremental encoder, even when a brake and/or a constant load torque is being applied[5]. However, in this paper, there is no explanation of the effect of the initial position on the motor current. Lei, Wang et al have proposed a method to identify the rotor position polarity[6]. This paper didn't describe the effect of the initial position on the motor current. All papers didn't research the phenomena as illustrated in Fig.1.

The control system that will be analyzed uses Proportional Integral (PI) as a current controller and

incremental encoder as a sensor. The effect of the load and initial position on PMSM control will be analyzed on both road condition, as illustrated in Fig.1.

## II. PMSM MODEL

The Park transformation converts from stationary reference frame into a rotating reference ( $d, q, 0$ ) frame using (1).

$$\begin{bmatrix} i_{sd} \\ i_{sq} \end{bmatrix} = \begin{bmatrix} \cos \theta_r & \sin \theta_r \\ -\sin \theta_r & \cos \theta_r \end{bmatrix} \begin{bmatrix} i_{s\alpha} \\ i_{s\beta} \end{bmatrix} \quad (1)$$

The PMSM mathematical model in the  $d$ - $q$  frame [2] is

$$\begin{bmatrix} v_{sd} \\ v_{sq} \end{bmatrix} = \begin{bmatrix} R_s + pL_s & -N\omega_r L_s \\ N\omega_r L_s & R_s + pL_s \end{bmatrix} \begin{bmatrix} i_{sd} \\ i_{sq} \end{bmatrix} + \begin{bmatrix} 0 \\ N\omega_r \psi_F \end{bmatrix} \quad (2)$$

where  $v_{sd}$  is the stator voltage in  $d$ -axis,  $v_{sq}$  is the stator voltage in  $q$  axis,  $i_{sd}$  is the stator current in  $d$ -axis,  $i_{sq}$  is the stator current in  $q$ -axis. The equation (5) can be stated as

$$\frac{d}{dt} i_{sd} = \frac{V_{sd} - R_s i_{sd} + N\omega_r L_{sq} i_{sq}}{L_{sd}} \quad (3)$$

$$\frac{d}{dt} i_{sq} = \frac{V_{sq} - i_{sd} N\omega_r L_{sd} - R_s i_{sq} - N\omega_r \psi_F}{L_{sq}} \quad (4)$$

The electric torque of PMSM is

$$T_e = \left\{ \psi_F i_{sq} + (L_{sd} - L_{sq}) i_{sd} i_{sq} \right\} \quad (5)$$

where  $L_{sd}$  is the stator inductance in  $d$ -axis and  $L_{sq}$  is the stator inductance in  $q$ -axis.

The mechanical model of PMSM [7] is

$$\frac{d\theta_r}{dt} = N\omega_r \quad (6)$$

where

$$\frac{d\omega_r}{dt} = \frac{T_e - T_L}{J} \quad (7)$$

where  $\theta_r$  is the position of the rotor,  $\omega_r$  is the speed,  $T_L$  is the load torque and  $J$  is the inertia of the motor.

## III. PMSM SENSOR CONTROL

Block diagram of the PMSM sensor control system is shown in Fig. 2. Each part will be explained below.

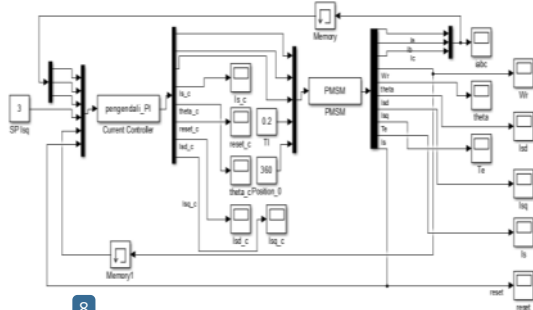


Figure 2. Block diagram of the PMSM sensor control

## A. Decoupling

Decoupling part is used to decouple cross-coupling between  $d$  and  $q$ -axis components ( $\omega$  and  $i$ ) which is not linear. This is necessary because the PI controller can only control the linear equations. The decoupling equations [8] are

$$V_{sd} = U_{sd} - N\hat{\omega}_r L_{sq} i_{sq1} \quad (8)$$

$$V_{sq} = U_{sq} + N\hat{\omega}_r L_{sd} i_{sd1} + N\hat{\omega}_r \psi_F \quad (9)$$

where

$$\frac{d}{dt} i_{sd1} = \frac{1}{T_d} i_{sd}^* - \frac{1}{T_d} i_{sd1} \quad (10)$$

$$\frac{d}{dt} i_{sq1} = \frac{1}{T_d} i_{sq}^* - \frac{1}{T_d} i_{sq1} \quad (11)$$

$$\frac{d}{dt} X_{sd} = i_{sd}^* - i_{sd} \quad (12)$$

$$\frac{d}{dt} X_{sq} = i_{sq}^* - i_{sq} \quad (13)$$

where  $i_{sd}^*$  is the set point of  $i_{sd}$  and  $i_{sq}^*$  is the set point of  $i_{sq}$ .

## B. Current Controller

The phase angle and the modulus of the current in an A.C. machine have to be controlled. It means that the current vector has to be controlled [2], so the torque and flux producing current components are independently controlled. This is done by controlling the stator current in the  $d$ - $q$  reference frame using Proportional Integrator (PI). The current controller equations [8] are

$$u_{sd} = K_{pd} (i_{sd}^* - i_{sd}) + K_{id} \int (i_{sd}^* - i_{sd}) dt \quad (14)$$

$$u_{sq} = K_{pq} (i_{sq}^* - i_{sq}) + K_{iq} \int (i_{sq}^* - i_{sq}) dt \quad (15)$$

where  $K_{pd}$ ,  $K_{id}$ ,  $K_{pq}$ , and  $K_{iq}$  are the current controller gain in the  $d$ - $q$  frame. The values of each gain are

$$K_{pd} = \frac{L_{sd}}{T_d}, \quad K_{id} = \frac{R_s}{T_d}, \quad K_{pq} = \frac{L_{sq}}{T_d}, \quad K_{iq} = \frac{R_s}{T_d} \quad (16)$$

## IV. METHODS

PMSM that we are used has parameters shown in Table I. The sensorless control system of PMSM is simulated using Matlab. The system is analyzed in two conditions. They are

- The vehicle runs on the flat road. The flat road is represented by a zero load. ( $T_L = 0$ )
- The vehicle runs on the uphill. The uphill path is represented with a non-zero ( $T_L$ ) load, in which case the test is performed for  $T_L = 0.16$  N.m.

The tests of both conditions were conducted at different starting positions ( $\Delta\theta$ ), ie  $0^\circ$ ,  $45^\circ$ ,  $90^\circ$ ,  $135^\circ$ ,  $180^\circ$ ,  $225^\circ$ ,  $270^\circ$ ,  $315^\circ$ , and  $360^\circ$ .  $\Delta\theta$  is the difference between  $\theta_r$  and  $\theta_c$ . The variables tested are the  $i_d$  and  $i_q$  values when the initial rotor position is the same and different.

TABLE I. PMSM PARAMETERS

Parameters	Quantities	Unit	Description
$T_d$	0.01	second	Time constant of current controller
$dt$	0.0001	second	Sampling time of controller
$N$	4		Number of pole pairs
$R_s$	0.14710296	$\Omega$	Stator resistance
$L_{sd}$	0.29420592	mH	Stator inductance in $d$ -axis
$L_{sq}$	0.382467696	mH	Stator inductance in $q$ -axis
$K_e$	0.055977	Vpeak/rad/s	Electrical constant
$psi (\Psi_F)$	0.0133994	Kc/N	Magnet flux linkage
$J$	0.01	kgm <sup>2</sup>	Motor inertia

The control system uses

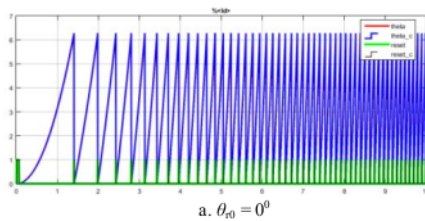
- incremental encoder as the speed sensor.
- The Proportional Integral (PI) controller is used for the current control
- The constants of the current controller are obtained from the formula in equation 16.
- The set point of  $i_{sd}^*$  is 0A.
- The set point of  $i_{sq}^*$  is 1.86A.

V. RESULTS AND DISCUSSION

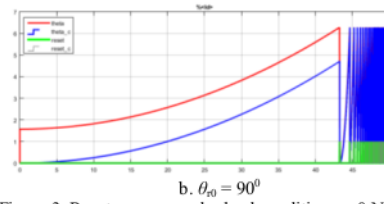
The system is tested on two conditions, i.e. the system is tested on the flat and uphill road. In this test, the initial position of PMSM is set at a certain angle. Speed ( $\omega_r$ ) is measured using an incremental encoder. The controller output position ( $\theta_c$ ) is reset so that the control position is equal to the rotor position ( $\theta_r$ ).

A. Testing on a Flat Road

When the vehicle runs on a flat road, the motor is applied a zero load ( $T_L = 0$ ). Fig. 3.a shows the reset process with an initial position of  $0^0$ . It appears that the position of the rotor and the output position of the controller from the start are the same. Fig. 3.b shows the reset process with an initial position of  $90^0$ . It appears that the start is at the rotor position of  $90^0$  or 1.57 radians while the controller output position ( $\theta_c$ ) is at position  $360^0$  (6.28 radians). When at position  $0^0$ , it is reset so that it is at position  $0^0$ . After reset, both positions are the same.



a.  $\theta_{r0} = 0^0$

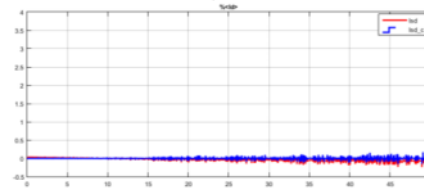


b.  $\theta_{r0} = 90^0$

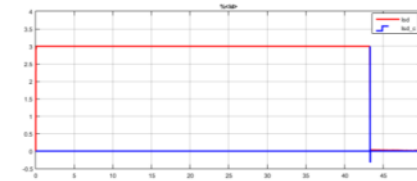
Figure 3. Reset process under load conditions = 0 N.m.

This condition also affects the  $i_d$  and  $i_q$  values. Fig. 4.a shows the  $i_d$  values when the starting position is the same. Fig. 4.b shows the  $i_d$  values when the starting position is different. The problem occurs when the initial position of the rotor differs from the controller's output position. It appears that the  $i_d$  current overshoots to 3 A for 43 seconds. At the 43<sup>rd</sup> seconds where the controller output position has been reset, the  $i_d$  value returns to set point (0 A).

This condition also occurs at the  $i_q$  value, as shown in Fig. 5. When the initial position of the rotor differs from the controller's output position, the  $i_q$  current drops to 0 A for 43 seconds. At the 43<sup>rd</sup> seconds where the controller output position has been reset, the  $i_q$  value returns to set point (3 A).

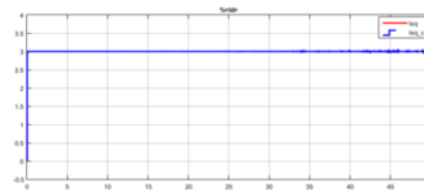


a.  $\theta_{r0} = 0^0$

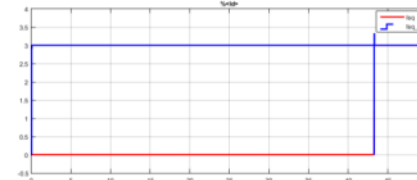


b.  $\theta_{r0} = 90^0$

Figure 4. The response of  $i_d$  when the vehicle runs on a flat road



a.  $\theta_{r0} = 0^0$



b.  $\theta_{r0} = 90^0$

Figure 5. The response of  $i_q$  when the vehicle runs on a flat road

Fig. 6.a shows the overshoot changes in  $i_d$  values to different starting positions. Fig. 6.b shows the changes in the  $i_d$  value multiplied by the time. Fig. 7.a shows the overshoot changes in the  $i_q$  value to different starting positions, whereas Fig. 7.b shows the change in the  $i_q$  value multiplied by the time.

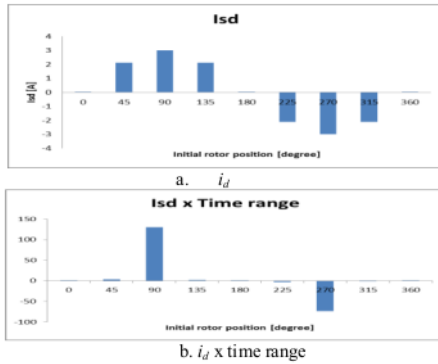


Figure 6. The condition of  $i_d$  versus initial position on a flat road conditions.

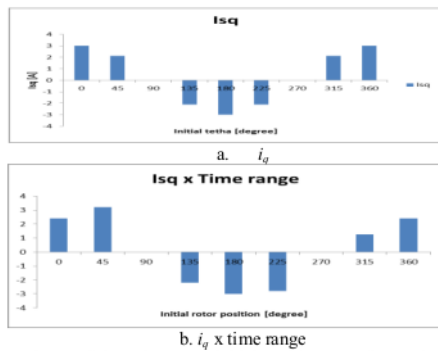


Figure 7. The condition of  $i_q$  versus initial position on a flat road conditions.

Fig. 6 and 7 show that both the current  $i_d$  and  $i_q$  at different starting positions have different values. From Fig.6.b and 7.b, it appears that the worst response occurs when the rotor's initial position is  $90^\circ$  and  $270^\circ$ . This shows that initial position synchronization on PMSM is very important.

### B. Testing on an Uphill Road

Testing [11](#) an uphill road is done with is applied a load  $T_L = 0.16$  N.m. Fig. 8.a shows the reset process with an initial position of  $0^\circ$ . It appears that the position of the rotor and the output position of the controller from the start are the same. Fig. 8.b shows the reset process with an initial position of  $90^\circ$ . It appears that the start is at the rotor position of  $90^\circ$  or 1.57 radians while the controller output position ( $\theta_c$ ) is at position  $360^\circ$  (6.28 radians). When at position  $0^\circ$ , it is reset so that it is at position  $0^\circ$ . After reset, both positions are the same.

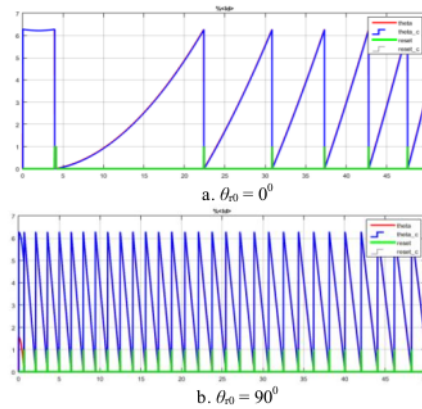


Figure 8. Reset process under load conditions = 0.16 N.m.

As happens when the motor is moving [16](#) on a flat road, this condition also affects the  $i_d$  and  $i_q$  values. Fig. 9.a shows the  $i_d$  values when the starting position is the same. Fig. 9.b shows the  $i_d$  values when the starting position is different. The problem also occurs when the initial position of the rotor differs from the controller's output position. It appears that the  $i_d$  current overshoots to 3 A. After a reset, the  $i_d$  value returns to set point (0 A). Fig.10 shows the  $i_q$  value when the motor runs on an uphill road. When the initial position of the rotor differs from the controller's output position, the  $i_q$  current drops to 0 A. After a reset, the  $i_q$  value returns to set point (3 A). Fig. 9 and 10 show that initial position synchronization on PMSM is very important.

Fig. 11.a shows the overshoot changes in  $i_d$  values to different starting positions. Fig. 11.b shows the changes in the  $i_d$  value multiplied by the time. Fig. 12.a shows the overshoot changes in the  $i_q$  value to different starting positions, whereas Fig. 12.b shows the change in the  $i_q$  value multiplied by the time. It appears that both the current  $i_d$  and  $i_q$  at different starting positions have different values. Fig.11.b and 12.b show that the worst response occurs when the rotor's initial position is  $90^\circ$  and  $270^\circ$ . This shows that initial position synchronization on PMSM is very important.

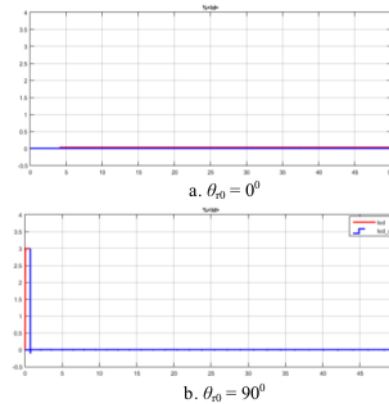


Figure 9. The response of  $i_d$  when the vehicle runs on an uphill road

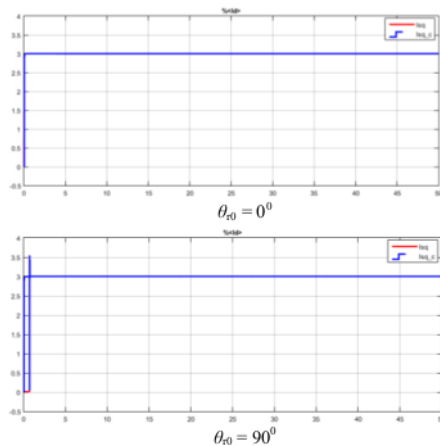
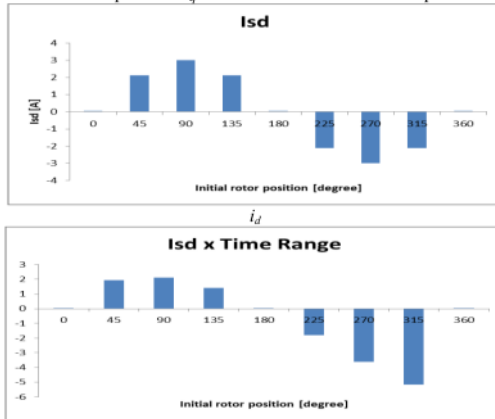
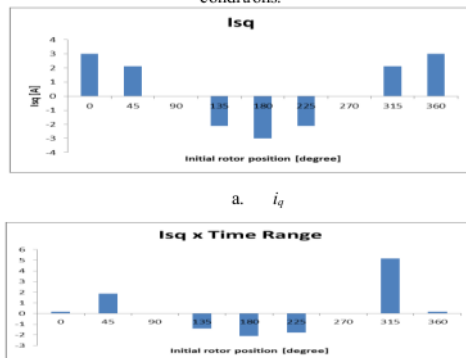


Figure 10. The response of  $i_q$  when the vehicle runs on an uphill road



b.  $i_d$  x time range  
Figure 11. The condition of  $i_d$  versus initial position on an uphill road conditions.



b.  $i_q$  x time range  
Figure 12. The condition of  $i_q$  versus initial position on an uphill road

## VI. CONCLUSION

From the above analysis, it appears that PMSM motors used as vehicles still have problems, both when runs on a flat road and an uphill road. This issue is very important to be solved. In addition to detecting the initial position of the rotor, so that the current  $i_d$  and  $i_q$  correspond to the set point value, the rotor is rotated first to get the zero crossing. At zero crossing, the controller output position is reset to equal the rotor position. At this point, the  $i_d$  and  $i_q$  value return to the set point value.

## ACKNOWLEDGMENT

This research is funded by Universitas Indonesia research grant of the *Publikasi Internasional Terindeks untuk Tugas Akhir Mahasiswa UI (PITTA)* 2018.

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